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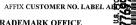
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PATENT TRADEMARK OFFICE

Docket No. 3956-4004 Express Mail No. EF 099 148 588US



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY APPLICATION AND FEE TRANSMITTAL (1.53(b

COMMISSIONER FOR PATENTS
BOX PATENT APPLICATION
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s) names and addresses:

- Theodore W Frank (1) 9525 Night Hawk Drive Chagrin Falls, Ohio 44023
- (2) Gary M. Fingerbut 38580 Gaelic Glen Solon, Ohio 44139
- (3) Ganesh Laxminarayan 26300 Seville Drive, #309 Beachwood, Ohio 44122

Additional inventors are listed on a separate sheet

METHOD AND SYSTEM FOR COMPLIANCE MANAGEMENT For:

Enclosed Are:

24 page(s) of specification page(s) of Abstract

16 page(s) of claims 8

sheets of X Formal | Informal drawings

page(s) of Declaration and Power of Attorney

Unsigned $\frac{\Box}{x}$ Newly Executed Copy from prior application

Deletion of inventors including Signed Statement under 37 C.F.R. §1.63(d)(2)

Х REQUEST AND CERTIFICATION UNDER 35 U.S.C. §122(b)(2)(B)(i) (form PTO/SB/35)

As indicated on the attached Request and Certification, Applicant(s) certify that the invention disclosed in the attached application HAS NOT and WILL NOT be the subject of

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an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. Applicant(s) therefore request(s) that the attached application NOT be published under 35 U.S.C. §122(b).

	Incorporation by Reference:		
	The entire disclosure of the prior application, from which a copy of the combined Declaration and Power of Attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.		
	Deletion of Inventors (37 C.F.R. §1.63(d) and §1.33(b)		
	Signed statement attached deleting inventor(s) named in the prior application serial no. filed $$		
	Microfiche Computer Program (Appendix)		
	page(s) of Sequence Listing computer readable disk containing Sequence Listing Statement under 37 C.F.R. §1.821(f) that computer and paper copies of the Sequence Listing are the same		
X	Assignment Papers (assignment cover sheet and assignment documents)		
	X A check in the amount of \$40.00 for recording the Assignment Charge the Assignment Recordation Fee to Deposit Account No. 13-4500 Order No. Assignment Papers filed in the parent application Serial No.		
	Certification of chain of title pursuant to 37 C.F.R. §3.73(b)		
Priority is claimed under 35 U.S.C. \$119 for:			
	Application No(s), filed, in (country).		
	☐ Certified Copy of Priority Document(s) [] ☐ filed herewith ☐ filed in application Serial No, filed		
	☐ English translation document(s) [] ☐ filed herewith ☐ filed in application Serial No, filed		
	Priority is claimed under 35 U.S.C. §119(e) for: Provisional Application No, filed		
	Information Disclosure Statement		
	Copy of [] cited references PTO Form-1449 References cited in parent application Serial No, filed		
	Related Case Statement under 37 C.F.R. §1.198(a)(2)(iii)		
	☐ A copy of related pending U.S. Application(s) Serial No(s): , filed , respectively is attached hereto.		

			copy of related pending U.S. Application(s) entitled, , filed to inventor(s) , respectively, is attached hereto.		
		file	copy of each related application(s) was submitted in parent application serial no. , bd .		
		Prelimi	inary Amendment		
X Return receipt postcard (MPEP 503) This is a continuation divisional continuation-in-part of prior appli to which priority under 35 U.S.C. §120 is claimed.			receipt postcard (MPEP 503)		
			a continuation divisional continuation-in-part of prior application serial no d to which priority under 35 U.S.C. §120 is claimed.		
			Cancel in this application original claims of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)		
			A Preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application).		
		The status of the parent application is as follows:			
			A Petition for Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until		
			A copy of the Petition for Extension of Time in the co-pending parent application is attached.		
			No Petition for Extension of Time and Fee therefor are necessary in the co-pending parent application.		
		Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.			
Transfer the drawing(s) from the parent application to this application			er the drawing(s) from the parent application to this application		
			I the specification by inserting before the first line the sentence: a continuation of co-pending application Serial No, filed		

I. CALCULATION OF APPLICATION FEE					
	Number Filed	Number Extra	Rate	Basic Fee \$710.00/355.00	
Total Claims	67- 20 =	47	\$18.00/\$9.00	\$ 423.00	
Independent Claims	21- 3 ≈	18x	\$80.00/\$40.00	\$ 720.00	
Multiple Depender	Multiple Dependent Claims		If marked, add fee of \$270.00 (\$135.00)		
TOTAL:				\$ 1,498.00	

x	Small entity status is or has been claimed. Red $\$1.498.00$.	luced fo	ees under 37 C.F.R. §1.9 (f) paid herewith	
	A check in the amount of \$in payment of the application filing fees is attached.			
X	Charge fee to Deposit Account No. 13-4500 O THIS SHEET IS ATTACHED.	rder No	D. 3956-4004. A DUPLICATE COPY OF	
X	The Commissioner is hereby authorized to charge any additional fees which may be required for filing this application pursuant to 37 CFR §1.16, including all extension of time fees pursuant to 37 C.F.R. § 1.17 for maintaining copendency with the parent application, or credit any overpayment to Deposit Account No. 13-4500 Order No. 3956-4004 A DUPLICATE COPY OF THIS SHEET IS ATTACHED.			
			Respectfully submitted, MORGAN & FINNEGAN, L.L.P.	
Dated:	Feb. 5, 2001 B	y:	Keith J. McWha Registration No. 44,235 (212) 758-4800 Telephone (212) 751-6849 Facsimile	

CORRESPONDENCE ADDRESS:

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